

03-01-02

PTO/SB/05 (03-01)

Approved for use through 10/31/2002, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	702-020310
First Inventor	Wilhelmus M. Van der Krieken et al.
Title	"Influencing the Activity of Plant Growth Regulators"
Express Mail Label No.	EL314744761US

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 24]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  0 Drawing(s) (35 U.S.C. 113) [Total Sheets 0]
5. Oath or Declaration [Total Pages 0]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

Commissioner For Patents  
**ADDRESS TO:** Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 C.F.R. 3.73(b) Statement  
(when there is an assignee)  Power of Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Nonpublication Request under 35 USC 122(b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: Letter Recognizing Attorneys (2 pp.)

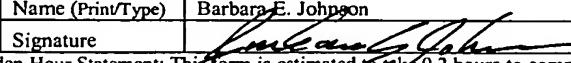
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 08/981,110

Prior application information: Examiner Mark Clardy Group Art Unit: 1616

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)		or	<input checked="" type="checkbox"/> Correspondence address below
Name	<b>Barbara E. Johnson, Esq.</b>			
	Webb Ziesenhein Logsdon Orkin & Hanson			
Address	700 Koppers Building			
	436 Seventh Avenue			
City	Pittsburgh	State	PA	Zip Code
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Name (Print/Type)	Barbara E. Johnson		Registration No. (Attorney/Agent)	31,198
Signature			Date	Feb. 28, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

10/08/02  
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02/28/02

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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IN RE APPLICATION OF:

ATTORNEY'S DOCKET NUMBER

Wilhelmus Maria Van der Kreiken and  
Gerrit Smit

702-020310

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ENTITLED

“INFLUENCING THE ACTIVITY OF PLANT GROWTH REGULATORS”

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Box PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number EL314744761US

Date of Deposit February 28, 2002

I hereby certify that the following attached paper or fee

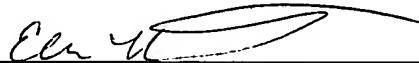
UTILITY PATENT APPLICATION TRANSMITTAL (1 p.);  
SPECIFICATION (21 pp.); CLAIMS (2 pp. of 13 claims.); ABSTRACT (1 p.);  
LETTER RECOGNIZING ATTORNEYS (2 pp.);  
INFORMATION DISCLOSURE STATEMENT (1 pp.);  
FORM PTO-1449 (2 pp.); and COPY OF EACH REFERENCE CITED

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Ellen Harteau  
(Typed name of person mailing paper or fee)

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(Signature of person mailing paper or fee)

PATENT APPLICATION  
Attorney Docket No. 702-020310

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
Wilhelmus Maria : INFLUENCING THE ACTIVITY OF  
VAN DER KREIKEN and : PLANT GROWTH REGULATORS  
Gerrit SMIT :  
Serial No. Not Yet Assigned :  
Filed Concurrently Herewith :  
Pittsburgh, Pennsylvania  
February 28, 2002

## LETTER RECOGNIZING ATTORNEYS

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing is an informal application for patent as above identified, comprising a specification, claims, abstract and drawings.

Information regarding the inventors and the application is as follows:

1(a). Name and Residence Address: Wilhelmus M. VAN DER KRIEKEN  
Oudlaan 35  
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The Netherlands

1(b). Name and Residence Address: Gerrit SMIT  
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The Netherlands  
The Netherlands

2. Title: INFLUENCING THE ACTIVITY OF PLANT GROWTH REGULATORS

3. Priority: U.S. Patent Application No. 08/981,110 filed March 13, 1997

4. Drawings: None.

5. Claims: 10, three of which are independent.

Please accept the application for purposes of granting a filing date and recognize Barbara E. Johnson, Registration No. 31,198; Thomas J. Clinton, Registration No. 40,561; and Kirk M. Miles, Registration No. 37,891, as attorneys in this application, pending the filing of a formal Declaration and Power of Attorney and payment of the filing fee. 37 C.F.R. §1.53(b).

Kindly direct all communications relating to this application to **Barbara E. Johnson.**

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON  
ORKIN & HANSON, P.C.

By

  
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